



14 April 2020

The Derivatives Services Bureau successfully completes Third Party Assurance Audit

Dear DSB Users,

The DSB has undergone the **International Standard on Assurance Engagements 3000 (revised) and 3402 (“ISAE 3000 and 3402”)** and the **Institute of Chartered Accountants in England and Wales Technical Release AAF 01/06 (“AAF 01/06”) Type I audit**, dated 31 December 2019. The audit was conducted by an Independent Service Auditor as part of the DSB’s governance. In addition, the report can assist users and their auditors with information on the policies, procedures and controls in place, as well as understand the design and implementation of controls.

The DSB will continue to work over the forthcoming year to undertake a Type II audit, with the report due Q2 2021, which is intended to establish the DSB’s ability to maintain its control procedures over a 12 month period. Copies of the ISAE 3402 and AAF 01/06 Type I Report can be made available upon request to both existing and potential users of the DSB. The ISAE 3402 and AAF 01/06 Type I Report is for internal use only and cannot be redistributed in part or in full.

The DSB accepts no liability to any party in respect of the content of the ISAE 3402 and AAF 01/06 report.

To obtain a copy of the **ISAE 3402 and AAF 01/06 Type I Report** please email Client-Admin@ANNA-DSB.com

Regards,
DSB Technical Support Team